

Audit and Risk Committee – for assurance

Meeting date: 10 October 2022

Time required: 5 minutes

Presenter: Joanne Butler

1. Topic

- 1.1. Fraud and Whistleblowing incidents

2. Objective of this report

- 2.1. To provide the Committee with an update on any fraud or whistleblowing reports.

3. Recommendation

- 3.1. That the Audit and Risk Committee notes the report.

4. Fraud and Whistleblowing Reports

- 4.1. This report covers Quarters 1 and 2 of 2022/23 (1 April 2021 to 30 September 2021).

Fraud

- 4.2. No incidents of fraud were reported during this period.

Whistleblowing

- 4.3. There have been two instances of whistleblowing complaints. At this stage neither have been progressed to formal investigation and are being explored for potential for informal resolution. The cases are not related.

The first relates to an allegation of discrimination as part of an internal recruitment process.

The second relates to an allegation of bullying and harassment.

5. Publication decision

- 5.1. This report can be published internally and externally without redactions.

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Consultees: Louise Byers, Paul Arnold, Angela Donaldson, Sarah Lal,
Peter Cudlip (Mazars)