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Legal Service Risk Mapping June 2022 - DRAFT

Proposed Time Frame: Fully implemented by 2025 in line with ICO25 plans

NB. Risks identified can be rated High/Medium or Low. These risks can cause impact to the ICO in terms of legal risk/reputational damage and can also impact external stakeholders and organisations who rely upon our advice, guidance and enforcement action being legally sound and correct. The likelihood of risk happening versus impact have been addressed in the table under the single 'Impact' heading

22.06.22

V.1.1

Total number of lawyers (excluding FOI): 30 Total Number of FOI lawyers: 8

Department and Contact	Key Tasks and Output	Headcount of Department	Initial Legal Risk	Risk versus Impact	Average No. of lawyers (Divided equally between Directorates served)	Extra lawyers	Proposed Lawyers to manage identified risk (incl. Band)
Technology and Innovation - Stephen Almond	Sandbox service, reports, technology engagement	52			1.6		
FOI and Transparency - Warren Seddon	Dealing with all FOI/EIR matters up to and including passing appeal cases to FOI Legal Team	76			8		
High Priority Inquiries, Insight & Intelligence - Anthony Luhman	High priority sectoral investigations and information gathering as part of intel function	64			1.6		
Investigations - Stephen Eckersley	Investigating and progressing enforcement action for DP/PECR/NIS/Criminal matters	86			1.6		
Legislative Reform - Emily Keaney	Op Chandra dealing with proposed reforms to Data Protection legislation	9			1.6		
Parliamentary and Government Affairs and ICO Regions - Amanda Williams	Engaging with and managing relationships with Parliament and Government departments	31			1.6		
International Regulatory Co-operation - Paula Hothersall	Managing and fostering relationships with overseas regulators and stakeholders input into international legal treaties and agreements	12			1.6		
Regulatory Assurance - Ian Hulme	Running Audit and compliance functions, using Assessment notice powers, developing guidance and BCRs	59			1.6		
Public Advice and DP Complaints and Compliance - Suzanne Gordon	Responding to public complaints based on existing ICO policy lines	169			1.6		
Corporate Communications - Angela Balakrishnan	Making statements about the work of the ICO internally and externally	20			1.6		

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Corporate Planning, Risk and Governance - Louise Byers	Planning, risk management and governance of all ICO functions	46	1.6
Digital, IT and Business Services - Mike Fitzgerald	Procuring, developing and running Digital and IT services for the ICO	143	1.6
Finance - Angela Donaldson	Managing the finances of the ICO	20	1.6
Regulatory Futures - Melissa Mathieson	Regulatory Futures and Innovation	9	1.6
Economic Analysis & Regulatory Portfolios - Tom Reynolds	Providing economic analysis across the office including in support of contentious enforcement matters	17	1.6
People Services - Sarah Lal	Managing relationship with staff and Unions	49	1.6
Strategic Change and Transformation - Jen Green	Overseeing and driving change programmes	44	1.6
General Legal Counsel - Claudia Berg	DP Litigation	n/a	1.6
General Legal Counsel - Claudia Berg	Interventions	n/a	1.6
General Legal Counsel - Claudia Berg	Legal Know-How and Training for ICO	n/a	1.6
General Legal Counsel - Claudia Berg	Internal legal Training	n/a	1.6
General Legal Counsel - Claudia Berg	ICO Legal Policy (RAP/SG/CREAP/Settlement etc.)	n/a	1.6

Total

42