

# Audit and Risk Committee – for assurance

**Meeting date:** 17 January 2023

**Time required:** 5 minutes

**Presenter:** Chris Braithwaite

## 1. Topic

- 1.1. Fraud and Whistleblowing incidents

## 2. Objective of this report

- 2.1. To provide the Committee with an update on any fraud or whistleblowing reports.

## 3. Recommendation

- 3.1. That the Audit and Risk Committee notes the report.

## 4. Fraud and Whistleblowing Reports

- 4.1. This report covers Quarter 3 of 2022/23 (1 October 2022 to 31 December 2022).

### Fraud

- 4.2. No incidents of fraud were reported during this period.

### Whistleblowing

- 4.3. There have been no new whistleblowing complaints during this period.
- 4.4. At the Committee's last meeting they were informed of two instance of whistleblowing complaints, which the Committee was informed were being explored for potential for informal resolution. These were unrelated allegations of discrimination as part of an internal recruitment process and of allegation of bullying and harassment. These are still being explored for opportunities for resolution.

## 5. Publication decision

- 5.1. This report can be published internally and externally without redactions.

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