

Freedom of Information Act 2000 (FOIA) Decision notice

Date: 10 March 2014

Public Authority: Chief Constable of Hampshire Constabulary

Address: Police Headquarters

West Hill

Romsey Road Winchester Hampshire SO22 5DB

Decision (including any steps ordered)

- 1. The complainant requested information from Hampshire Constabulary about a visit to the area by a member of the Royal family. Hampshire Constabulary advised that it does not hold all the requested information. However, it confirmed that it held some cost information associated with the visit. It refused to disclose that information citing sections 24(1) (national security), 31(1)(a) (law enforcement) and 38(1)(a) and (b) (health and safety) of the FOIA.
- 2. The Commissioner's decision is that Hampshire Constabulary withheld the information incorrectly.
- 3. The Commissioner requires Hampshire Constabulary to disclose the withheld cost information to the complainant to ensure compliance with the legislation.
- 4. The public authority must take these steps within 35 calendar days of the date of this decision notice. Failure to comply may result in the Commissioner making written certification of this fact to the High Court pursuant to section 54 of the Act and may be dealt with as a contempt of court.



Request and response

5. On 13 June 2013 the complainant made the following request for information:

"Please can you provide me (as a Freedom of information request) with the total cost of the Royal visit from The Duchess of Cornwall on 13th June 2013 to Southampton and if possible, a breakdown of that cost?

Areas for consideration of cost may be, but not limited to:

1. Security

Including cost of police, risk assessments and health and safety considerations, road closures, crowd safety, barriers etc.

2. Catering

Including cost of food and drink, catering staff wages and equipment (hired and/or purchased) etc.

3. Entertainment

Including displays, technical equipment (hired and/or purchased), technical staff wages, music, Marque etc.

4. Decoration

Including cost of flowers or other decorations, building works (materials and staff wages) etc.

5. Organisation

Including cost of time spent by staff to arrange the visit, 'rest' facilities, building hire, administration and stationary costs, cost of any gifts, momentous or souvenirs, transport and stewarding etc.

Please could you also inform me if any of the cost was met by any other organisations or bodies or if you received any donations to help meet the cost, or received any sponsorship, who this was from and how much was given?"

6. Hampshire Police responded on 23 July 2013. It refused to provide the requested information. It told the complainant that it does not hold the information in a retrievable format and that:



"In respect of total cost, determining the number of hours dedicated to the policing operation to cover the scope of your request would exceed the statutory cost limit in respect of FOI.

It is possible that any member of the force may have dedicated time to this operation, either prior to the event, during the event or after the event".

- 7. The complainant requested an internal review on 1 August 2013.
- 8. Hampshire Constabulary sent her the outcome of its internal review on 6 September 2013. It revised its position saying:

"... using the cost regulations was not necessarily the correct legal response as it is my view that we do not hold the 'total cost' for this royal visit.

Although certain costs are available this would never represent a true cost due to a number of hidden factors. These include officers being involved who are already on duty and meetings, activities that would have been carried out but not specifically costed. From a legal perspective, such information is not held and there is no compulsion under the legislation to create information in providing a total cost".

- 9. With regard to her request for a breakdown of costs, it confirmed that it holds relevant information but refused to disclose it, citing the following exemptions of the FOIA:
 - section 24(1) (national security);
 - section 31(1)(a) (law enforcement); and
 - section 38(1)(a) and (b) (health and safety).

Scope of the case

- 10. The complainant contacted the Commissioner on 16 September 2013 to complain about the way her request for information had been handled.
- 11. She told the Commissioner:

"I do not accept the reasons they give for turning the request down.

The reasons they give for not releasing this information is that it might be harmful to members of the royal family and a threat to



national security. This cannot be true as I have found many examples of police authorities releasing this information (see links below) and I am not asking for the yearly overall cost of security for the royals, just the cost of the individual visits themselves. I am also not asking for details such as how many police officers were involved and what kinds etc, just the cost. I therefore fail to see how this information could pose a threat."

- 12. The Commissioner understands from the complainant that some forces would appear to have complied with similar requests for information. She referred to such information being provided "either through freedom of information requests or freely to the press". However, the Commissioner does not consider that this sets an automatic precedent for disclosure under the FOIA. In his view, each case must be considered on its merits.
- 13. The Commissioner understands that, during the course of his investigation, Hampshire Constabulary disclosed some relevant information to the complainant. That information comprised "costs relating to the catering for meetings etc and casual users mileage".
- 14. In light of the above, the Commissioner considers the scope of his investigation to be whether Hampshire Constabulary correctly applied sections 24, 31 and 38 of FOIA to the remaining withheld information.
- 15. That information comprises recorded information about costs relating to the Royal visit.

Reasons for decision

16. The Commissioner has first considered Hampshire Constabulary's application of section 24(1).

Section 24 national security

17. Section 24(1) of the FOIA states:

"Information which does not fall within section 23(1) [information supplied by, or relating to, bodies dealing with security matters] is exempt information if exemption from section 1(1)(b) is required for the purpose of safeguarding the national security".

18. Section 1(1)(b) is the subsection which relates to the public authority having a duty to communicate information to the requester.



- 19. In broad terms, section 24(1) allows a public authority not to disclose information if it considers that the release of the information would make the United Kingdom or its citizens vulnerable to a national security threat.
- 20. When investigating complaints about the application of section 24(1), the Commissioner will need to be satisfied that the exemption from the duty to communicate the information is required for the purpose of safeguarding national security.
- 21. The approach of the Commissioner is that *required* in this context means reasonably necessary. It is not sufficient for the information sought simply to relate to national security, there must be a clear basis for arguing that disclosure would have an adverse effect on national security before the exemption can be engaged.
- 22. In the Commissioner's view, safeguarding national security includes, amongst other things, protecting potential targets even if there is no evidence that an attack is imminent.
- 23. In this case, the Constabulary explained to the complainant:

"The release of information requested by the applicant would result in harm to the national security of the United Kingdom. Releasing costs associated with the protection of any members of the Royal Family would provide those intent on committing acts of terrorism with valuable information as to the level of resistance they might expect to counter when undertaking such an act. Someone could reasonably infer comparable protection levels with other public figures whose receipt of protection is a matter of public record, namely the Prime Minister and The Queen. The costs of these protections remain undisclosed for the same reasons of national security, health and safety and law enforcement. Disclosing the information sought would also give valuable tactical information to those who seek to harm such figures.

The release of information which might assist a potential terrorist in planning an attack on a Member of the Royal Family, including the possibility of identifying protection surrounding the Head of State, her Heirs and the Prime Minister, would threaten the prime institution of the United Kingdom's constitutional arrangements, and thus the nation's security".

24. During the course of his investigation, Hampshire Constabulary told the Commissioner that, in its view, "the disclosure of ANY information relating to the security provided for such visits could start to identify or



allow others to speculate" about the levels of security afforded to members of the royal family.

- 25. It provided the Commissioner with further submissions in support of its view as to the risks which exist around the protection of the Royal family and why disclosing costs associated with visits such as the visit referred to in the request could assist in forming an overview of security arrangements. For example, it argued that separate pieces of information in the public domain can be compiled and compared "to allow inferences to be drawn about the policing provided for these visits".
- 26. Citing a further example in support of its view, Hampshire Constabulary acknowledged that information, such as the requested costs in this case, may, in isolation, seem harmless. However, it said that the disclosure of such information nevertheless provides intelligence to a potential terrorist.
- 27. When deciding whether the section 24 exemption is engaged, it is the potential value of the disputed information in the hands of those who constitute a threat to national security that must be considered. There is no requirement for the public authority to demonstrate that there is a specific and imminent threat from disclosure, it is sufficient that the public authority has been able to demonstrate that the disputed information, in the wrong hands, could indirectly create a real possibility of harm to national security.
- 28. The Commissioner has considered the lengthy arguments provided by Hampshire Constabulary in this case. He recognises the strength of such arguments in respect of safeguarding the security of the United Kingdom and its people both from acts of terrorism and from the threat posed by lone individuals.
- 29. The Commissioner recognises, for example, that terrorists can be highly motivated and may go to great lengths to gather intelligence. He acknowledges that gathering information from publicly available sources may well be a strategy used by those planning terrorist activities or other criminal attacks.
- 30. In reaching his conclusion in this case, the Commissioner does not dispute the very real risks which exist around the protection of the Royal family and other high profile individuals. Nor does he dispute the potential for threats to be made to public figures. In cases involving the section 24 exemption the Commissioner recognises that, depending on the circumstances, there may be grounds for withholding what seems harmless information. For example it may be necessary to withhold it on



- the basis that it may assist terrorists or lone individuals when pieced together with other information they may obtain.
- 31. The Commissioner is mindful that Hampshire Constabulary told the complainant:
 - "Although certain costs are available this would never represent a true cost due to a number of hidden factors. These include officers being involved who are already on duty and meetings, activities that would have been carried out but not specifically costed".
- 32. In the context of this case, having viewed the withheld information and having considered all the arguments and representations, particularly the comments quoted in paragraph 31 above, the Commissioner does not accept that an exemption from disclosure of this information is required to safeguard national security. Therefore the Commissioner finds that the exemption is not engaged.

Section 31 law enforcement

- 33. The Commissioner has next considered Hampshire Constabulary's application of section 31 to the same withheld information.
- 34. Section 31 provides a prejudice based exemption which protects a variety of law enforcement interests. For the exemption to be engaged it must be at least likely that the prejudice identified would occur. Even if the exemption is engaged, the information should be disclosed unless the public interest in maintaining the exemption outweighs the public interest in disclosure.
- 35. In this case, Hampshire Constabulary considers section 31(1)(a) applies. That section states:
 - "Information which is not exempt information by virtue of section 30 is exempt information if its disclosure under this Act would, or would be likely to, prejudice -
 - (a) the prevention or detection of crime".
- 36. Hampshire Constabulary told the Commissioner that it considers that the exemptions afforded by section 31(1)(a) and 24(1) "are very closely related". He notes that the reasons put forward by the Constabulary in support of its view that section 31 is engaged in this case are very similar to its submissions in relation to section 24.
- 37. In order for a prejudice based exemption, such as section 31, to be engaged the Commissioner considers that three criteria must be met.



- First, the actual harm which the public authority alleges would, or would be likely, to occur if the withheld information was disclosed has to relate to the applicable interests within the relevant exemption.
- Secondly, the public authority must be able to demonstrate that some causal relationship exists between the potential disclosure of the information being withheld and the prejudice which the exemption is designed to protect. Furthermore, the resultant prejudice which is alleged must be real, actual or of substance.
- Thirdly, it is necessary to establish whether the level of likelihood of prejudice being relied upon by the public authority is met ie, disclosure 'would be likely' to result in prejudice or disclosure 'would' result in prejudice. In relation to the lower threshold the Commissioner considers that the chance of prejudice occurring must be more than a hypothetical possibility; rather there must be a real and significant risk. With regard to the higher threshold, in the Commissioner's view this places a stronger evidential burden on the public authority to discharge.

The applicable interests

- 38. The public authority must show that the prejudice it is envisaging affects the particular interest that the exemption is designed to protect.
- 39. In applying this exemption, Hampshire Constabulary told the complainant:
 - "any physical attack on any person, regardless of whether they are a member of the Royal Family or not, is a crime and therefore where release would harm their safety (or that of any other person) section 31(1)(a) is engaged".
- 40. By way of explanation, it told the Commissioner that it considers the exemption applies because disclosure in this case would prejudice the prevention or detection of crimes linked to national security.
- 41. The Commissioner accepts that Hampshire Constabulary's arguments relate to the law enforcement activity that the exemption is designed to protect.

The nature of the prejudice

42. Hampshire Constabulary told the complainant:

"Disclosing the cost for providing security to any named Members of the Royal Family would have the effect of disclosing the level of policing and/or security resources that are assigned to these



specific people. This would compromise personal safety arrangements, as it would enable those wishing to circumvent those arrangements to form an assessment of the level of protection provided.

The release of information will also reveal policing tactics regarding these key figures of the Royal Family, and would be used to form part of a wider assessment of levels of protection afforded to others not subject to this request, including public figures of equivalent status who are not part of the Royal Family. The release of this information would be to the detriment of another public figure of equivalent status who may not be in receipt of similar levels of protection".

The likelihood of the prejudice occurring

43. Hampshire Constabulary confirmed that it considers the higher limb of the exemption – would prejudice – applies in this case.

Is the exemption engaged? Would disclosure prejudice law enforcement?

- 44. In the Commissioner's view, the exemption provided by section 31(1)(a) serves to protect society from crime. It can therefore be used to withhold information that would make anyone more vulnerable to crime. In considering whether the exemption is engaged, the Commissioner recognises that account can be taken of any harm likely to arise if the requested information were put together with other information.
- 45. In the circumstances of this case, having considered the disputed information recorded cost information about a specific Royal visit and Hampshire Constabulary's arguments with respect to section 31(1)(a), the Commissioner does not find it plausible that the disclosure of the information held as to the specific sums of money incurred would be prejudicial to law enforcement. It follows that he does not find the exemption engaged.

Section 38 health and safety

46. Section 38(1) of the FOIA provides that:

"Information is exempt information if its disclosure under this Act, would, or would be likely to –

- (a) endanger the physical or mental health of any individual, or
- (b) endanger the safety of any individual".



- 47. For the exemption to be engaged it must be at least likely that the prejudice identified would occur. Even if the exemption is engaged, the information should be disclosed unless the public interest in maintaining the exemption outweighs the public interest in disclosure.
- 48. In this case, Hampshire Constabulary told the Commissioner that it considers that section 38(1)(a) and (b) is also engaged:

"as it is the consequence of any failure to prevent and detect crime in this instance that any disclosure would be likely to result in an increased risk to protected individuals, with a corresponding prejudice to their health and safety".

49. In considering its arguments, he notes that while the request relates to a single member of the Royal family, Hampshire Constabulary's submissions refer to members in the plural.

The applicable interests

50. Hampshire Constabulary told the complainant:

"If Hampshire Constabulary was to provide costs associated with providing security to these named Royal figures it would compromise personal safety arrangements for these specific individuals. There is also the possibility of a mosaic effect being established whereby disclosed information could be matched with unofficial information to form comparisons with other Royal and public figures that may or may not be in receipt of protective security arrangements.

The release of information will also reveal policing tactics regarding these key figures of the Royal Family and could be used to form part of a wider assessment of levels of protection afforded to others not subject to this request. The release of this information would therefore be to the detriment of another who may not be in receipt of similar levels of protection".

The nature of the prejudice

51. Hampshire Constabulary told the complainant:

"To reveal information which exposes levels of protection to those persons intent on causing harm would increase the risk of harm to those individuals and to others who may be caught up in an attack, such as the Royal Family staff and members of the public".

The likelihood of the prejudice occurring



52. With respect to which limb of the exemption it considers applies in this case, Hampshire Constabulary told the complainant:

"The release of the information requested would endanger the physical health of individuals concerned".

Is the exemption engaged?

- 53. The Commissioner takes the view that the phrase "would or would be likely to" prejudice or endanger means that there should be evidence of a significant risk to the physical or mental health or the safety of any individual.
- 54. In this case, the Commissioner's view is that Hampshire Constabulary has not evidenced a significant risk to the physical health or safety of an individual. In the circumstances of this case and given the nature of the relevant information held, he does not accept that disclosure would expose the levels of protection afforded to any member of the royal family or others. He therefore finds that Hampshire Constabulary has failed to demonstrate a causal link between the disclosure of the withheld information and endangerment.
- 55. He therefore finds that the section 38 exemption is also not engaged in this case.



Right of appeal

56. Either party has the right to appeal against this decision notice to the First-tier Tribunal (Information Rights). Information about the appeals process may be obtained from:

First-tier Tribunal (Information Rights)
GRC & GRP Tribunals,
PO Box 9300,
LEICESTER,
LE1 8DJ

Tel: 0300 1234504 Fax: 0116 249 4253

Email: GRC@hmcts.gsi.gov.uk

Website: www.justice.gov.uk/tribunals/general-regulatory-chamber

- 57. If you wish to appeal against a decision notice, you can obtain information on how to appeal along with the relevant forms from the Information Tribunal website.
- 58. Any Notice of Appeal should be served on the Tribunal within 28 (calendar) days of the date on which this decision notice is sent.

Graham Smith
Deputy Commissioner
Information Commissioner's Office
Wycliffe House
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