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Case reference: IC-353201-K6Z8

We are now in a position to respond to your information request of 30 December.

Request

You asked us for the following:

"Please can you provide me high level details of all prosecutions under S170 of the DPA 2018 and s55 of the Data Protection Act 1998 from 2017 to date for NHS healthcare organisations such as GP practices and hospitals?."

We have handled your request for recorded information under the Freedom of Information Act 2000 (FOIA).

Our response

We publish details of prosecutions under section 170 of the Data Protection Act 2018 (DPA), and section 55 of the DPA 1998, on our website in the form of a news article in our <u>media centre</u>. In the past these have been published on the enforcement action section of the <u>Action we've taken</u> webpage. You can read our <u>Communicating our regulatory and enforcement activity policy</u> online.

Due to our retention policy, some of these published prosecutions may no longer be available. Archived versions of our website can be found via The National Archives (TNA).

Please find below details of the prosecutions under section 170 DPA 2018 and section 55 of DPA 1998 from 2017 involving 'NHS healthcare organisations'. Please note, such prosecutions are against the individual not the organisation.

We have also provided details of the relevant court and decision date in order for you to find public information on these cases. These details have been collated from information we hold and information available externally online.

The following is available on the ICO website.

NHS 111 (Uxbridge Magistrates Court, decision date 15/2/23): Former 111 call centre advisor fined for illegally accessing medical records



Worcestershire Acute Hospitals NHS Trust (Worcester Magistrates' Court, decision date 15/11/23): Former NHS secretary found guilty of illegally accessing medical records

Please find below details of the prosecutions no longer available on our website but are accessible via archived links on the TNA website. The first link is an article about 8 convictions for NHS staff in 2017. The 8 are also listed individually below.

<u>Personal data must be safe from prying eyes</u> (16/11/17) – 8 convictions for NHS staff in 2017.

Hywel Dda University Health Board (Llanelli Magistrates' Court, decision date 3/3/17): <u>Elaine Lewis</u>

Portsmouth Hospitals NHS Trust (Portsmouth Magistrates' Court, decision date 5/4/17): <u>Eileen McMillan</u>

Powys Trust Health Board (Newport Crown Court, decision date 16/5/17): <u>GP Surgery administrator fined for illegally accessing patient records</u>

Colchester Hospital University NHS Foundation Trust (The Colchester Magistrates' Court, decision date 10/8/17): <u>Brioney Woolfe</u>

The University Hospitals of North Midlands NHS Trust (North Staffordshire Magistrates' Court, decision date 4/9/17): Linda Reeves

Kent and Medway NHS and Social Care Partnership Trust (Medway Magistrates' Court, decision date 16/10/17): Nicola Wren

Dudley Group NHS Trust (Wolverhampton Magistrates' Court, decision date 9/11/17): Clair Francis

Royal Gwent Hospital (Cwmbran Magistrates' Court, 13/11/17): Marian Waddell and Nursing auxiliary fined for unlawfully accessing patient records

Milton Keynes Hospital Trust (Milton Keynes Magistrates' Court, decision date 20/4/18): Michelle Harrison

Southport and Ormskirk Hospital NHS Trust (Bootle Magistrates' Court, decision date 24/9/18): Clare Lawson



Fakenham Medical Practice (King's Lynn Magistrates' Court, decision date 28/11/18): Hannah Pepper

Heart of England NHS Foundation Trust (Birmingham Magistrates' Court, decision date 15/3/19): Faye Caughey

Hollybrook Medical Centre (Derby Magistrates' Court, 4/4/19): Shamim Sadiq

South Warwickshire NHS Foundation Trust (Coventry Magistrates' Court, decision date 3/8/22): Christopher O'Brien

We have disclosed some details of the section 170 DPA 2018 prosecutions since 2018 in response to previous FOIA requests <u>IC-232323-S2L7</u> and <u>IC-233384-N3Z6</u> on our disclosure log, which you may find useful.

The published information within scope of your request is technically withheld under section 21 of the FOIA, which explains that we are not required to provide information in response to a request if it is already reasonably accessible to you from another source.

This concludes our response to your request. We hope you found this information helpful.

Next steps

You can ask us to review our response. Please let us know in writing if you want us to carry out a review. Please do so within 40 working days. You can read a copy of our full <u>review procedure</u> on our website.

If we perform a review but you are still dissatisfied, you can complain to the ICO as regulator of the FOIA. This complaint will be handled just like a complaint made to the ICO about any other public authority. You can <u>raise a complaint</u> through our website.

Your information

Our <u>privacy notice</u> explains what we do with the personal data you provide to us, and sets out <u>your rights</u>. Our <u>Retention and disposal policy</u> details how long we keep information.



Yours sincerely



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For information about what we do with personal data see our <u>privacy notice</u>