**APPLICATION FOR APPROVAL OF UK BINDING CORPORATE RULES FOR PROCESSORS**

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| **Contact details of the Applicant and the structure of the group of undertakings, or group of enterprises engaged in a joint economic activity (‘Group’)** |
| Name of the Group:  Click or tap here to enter text. |
| Address of the Group Headquarters:  Click or tap here to enter text. |
| Name, address and UK company registration number of the UK legal entity with delegated responsibility or (if exporting entity model is proposed) name, address and registered UK company number of all UK legal entities for the Group:  Click or tap here to enter text. |
| Name and address of the UK legal entity(ies) handling complaints under UK BCRs (if different):  Click or tap here to enter text. |
| Legal nature of the UK legal entity with delegated responsibility or legal entities if exporting entity model is being proposed  Click or tap here to enter text. |
| A description of the corporate structure and geographical location of all members to be bound by UK BCRs, a document showing the Group structure as an annex:  Click or tap here to enter text. |
| Name, position and full contact details of the person dealing with the application (include address, email and phone number):  Click or tap here to enter text. |
| Name, title and full contact details of any external lawyers or other advisors instructed to act for the applicant (include address, email and phone number):  Click or tap here to enter text. |
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| **Description and destinations of data flows** |
| Describe the nature of the personal data intended to be covered by the UK BCRs.  Include the expected category/categories of personal data, the type of processing and its purposes, the types of data subjects affected for each identified data flow and for each UK legal entity as applicable. (so, if an exporting entity model is proposed, we expect to see a description and the required information as against each exporting entity)  Click or tap here to enter text. |
| Please set out the third countries to which data specified above will be transferred under the UK BCRs. (We expect to see the data flow, categories, purpose specified against each third country as necessary)  Click or tap here to enter text. |
| Do you allow third party Controllers to transfer personal data from the UK directly to Members of the UK BCR-P based in third countries?  Click or tap here to enter text.  Or do you require all third party UK Controllers to first send personal data to a UK legal entity member of the UK BCR-P who then transfers that data to a UK BCR-P member in a third country?  Click or tap here to enter text.  In either case please provide full details and a commitment that there are contractual arrangements in place ensuring:   * All Article 28 UK GDPR obligations (including but not limited to assisting the Controller in demonstrating compliance with their data protection principles), are satisfied * A relevant contractual provision specifically dealing with direct personal data transfers from a UK Controller to an overseas member of the UK BCR exists in those contractual arrangements * A contractual provision extending third party beneficiary rights afforded under UK BCRs has been included in those contractual arrangements in accordance with The Contract (Rights of Third Parties) Act 1999 for the benefit of Controller’s and their data subjects * A risk assessment has been undertaken and by which party (for example, external Third Party Controller or UK BCR group) * Which BCR member is a signatory to those Article 28 contractual arrangements * As applicable, the IGA/binding instrument reflects those Article 28 arrangements and is binding on all UK BCR members   Click or tap here to enter text. |
| Please confirm that a copy of the UK BCRs are annexed/referenced to contractual arrangements in place with Controllers.  Click or tap here to enter text. |
| Are any of the UK BCR members likely to undertake sub processing activities on behalf of other UK BCR members, or on behalf of other members of the Group who are not party to the UK BCRs?  Click or tap here to enter text.  If so, please provide further details and a commitment that contractual arrangement are in place in relation to sub processors  Click or tap here to enter text. |
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| **Liability** |
| Confirm that the UK legal entity with delegated data protection responsibilities (or each legal entity if exporting entity model is being proposed) has sufficient funds in place to provide the remedies and/or pay compensation for liabilities arising under the UK BCRs.  Click or tap here to enter text. |
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| **Network of data protection officers or appropriate staff** |
| Confirm that a network of DPOs or appropriate staff (such as a network of privacy officers) is appointed with senior management support to oversee and ensure compliance (including training and complaint handling) with the UK BCRs.  Click or tap here to enter text. |
| Explain how the network of DPOs or privacy officers operates within the Group.  Describe the internal structure of their roles and responsibilities and provide an organogram.  Click or tap here to enter text. |
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| **Verification of Compliance & Audits** |
| Describe the verification mechanisms that the Group has in place to audit each of the members compliance with UK BCRs and how often compliance is examined.  Click or tap here to enter text.  Explain how the verification or compliance programme operates within the Group (including and not limited to information as to the recipients of any audit reports and their position within the structure of the Group)  Click or tap here to enter text. |
| Are the verification mechanisms clearly set out in one document or other internal procedures? If so, please provide a copy of the document.  Click or tap here to enter text. |
| Confirm that the Commissioner will be provided with any audit /verification reports, on request, without restrictions.  Click or tap here to enter text. |
| Confirm that the Controller is also provided with any audit /verification reports, on request,  Click or tap here to enter text. |
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| **Mechanisms for reporting and recording changes** |
| Describe what is in place to record any changes to the UK BCRs and/or list of members.  Please confirm what arrangements are in place to inform the Commissioner of any changes to the UK BCRs and/or to the list of UK BCRs members.  Click or tap here to enter text. |
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| **Co-Operation with the Commissioner & Controller** |
| Confirm that the Group as a whole and each of the entities of the Group who are members of the UK BCRs will co-operate with the **Commissioner** in relation to compliance with the UK BCRs.  Click or tap here to enter text. |
| Confirm that the Group as a whole and each of the entities of the Group who are members of the UK BCRs will co-operate with the **Controller** in relation to compliance with the UK BCRs.  Click or tap here to enter text. |
| Please set out what mechanisms are in place for notifying the **Commissioner** where legal requirements of BCR members located in third countries have or could have an adverse effect on those guarantees being afforded under the UK BCRs.  Click or tap here to enter text. |
| Please set out what mechanisms are in place for notifying the **Controller** where legal requirements of BCR members located in third countries have or could have an adverse effect on those guarantees being afforded under the UK BCRs.  Click or tap here to enter text. |
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| **Training and awareness raising** |
| Provide supporting documentation in relation to the established policies and procedures setting out the training programme demonstrating how the UK BCRs are communicated throughout the Group.  Where applicable, include references to bespoke and general training programmes and testing and verification of training of UK BCRs knowledge.  Click or tap here to enter text. |
| Confirm that all employees and other staff that have permanent or regular access to personal data receive appropriate training on the UK BCRs Please also explain whether and how different categories of employee or staff receive different training.  Click or tap here to enter text. |
| Explain which function is responsible for monitoring the training programme in respect of UK BCRs including updating UK BCRs data protection policies and training modules, who approves and signs them off and how frequently policies and training modules are reviewed.  Click or tap here to enter text. |