

29 January 2025

## **IC-353539-P5Q7**

### **Request**

You asked us:

*"I am writing to request information under the Freedom of Information Act 2000 concerning Ealing Council and any fines or enforcement actions taken against them in 2016 for breaches of data protection regulations. Specifically, I would like to request the following information: 1. Details of any fines or enforcement actions imposed on Ealing Council by the ICO in 2016, including the reasons for the fines and the specific breaches of data protection regulations. 2. Any available documentation, reports, or correspondence related to the investigation and determination of the fine against Ealing Council. 3. Information on any subsequent measures Ealing Council was required to take to address the issues that led to the fine, as well as any follow-up actions taken by ICO."*

We received your request on 31 December 2024.

We have handled your request under the Freedom of Information Act 2000 (the FOIA).

### **Our response**

We can confirm that we hold some information in scope of your request.

On 15 November 2016, we issued an undertaking to the London Borough of Ealing to comply with the Data Protection Act 1998 related to a personal data breach report we received. No monetary penalty was issued as a result of this action.

Information regarding this undertaking can be found on the [archived version of our website](#), including [the undertaking itself](#). In addition, a follow-up to this

undertaking on 19 April 2017 was also [published on our website](#).

The breach was assessed under case reference COM0617270, and we still hold some information regarding this case. Please find attached the information we hold which relates to this case reference.

We have withheld some information from disclosure. The reasons for this can be found below.

## **Information withheld**

### **FOIA section 40(2) – Third party personal data**

You will see that we have redacted some third party personal data in our response. It is exempt under section 40(2) of the FOIA.

Disclosure of this personal data would break the first principle of data protection – that personal data is processed lawfully, fairly and in a transparent manner.

There is no strong legitimate interest that would override the prejudice that disclosure would cause to the rights and freedoms of the individuals concerned, so we are withholding the information under section 40(2) of the FOIA.

### **FOIA section 44 and DPA 2018 section 132 – Prohibitions on disclosure**

We have withheld some information under section 44 of the FOIA. Section 44(1)(a) states:

*"(1) Information is exempt information if its disclosure (otherwise than under this Act) by the public authority holding it -*

*(a) is prohibited by or under any enactment"*

The enactment in question is the Data Protection Act 2018. Section 132(1) of part 5 of that Act states that:

*"A person who is or has been the Commissioner, or a member of the Commissioner's staff or an agent of the Commissioner, must not disclose information which—*

*(a) has been obtained by, or provided to, the Commissioner in the course of, or for the purposes of, the discharging of the Commissioner's functions,*

*(b) relates to an identified or identifiable individual or business, and*

*(c) is not available to the public from other sources at the time of the disclosure and has not previously been available to the public from other sources,*

*unless the disclosure is made with lawful authority."*

We can confirm that:

- The information was obtained by the Commissioner in order to carry out their functions.
- The information relates to an identifiable organisation and individual.
- The information is not, and was not previously, publicly available from other sources.

As a result we cannot disclose the information unless we have lawful authority.

Section 132(2) of the DPA provides conditions in which disclosure could be made with lawful authority. We have therefore considered each condition in turn:

*"(a) the disclosure was made with the consent of the individual or of the person for the time being carrying on the business,"*

I can confirm that we do not have consent to disclose this information.

*"(b) the information was obtained or provided as described in subsection (1)(a) for the purpose of its being made available to the public (in whatever manner),"*

The information was not obtained by or provided to the Commissioner as part of their regulatory role in order to make it available to the public and for this reason we are treating it as confidential.

*"(c) the disclosure was made for the purposes of, and is necessary for, the discharge of one or more of the Commissioner's functions,"*

We find that disclosure is not necessary in order to fulfil any of their functions.

*"(e) the disclosure was made for the purposes of criminal or civil proceedings, however arising,"*

Disclosure would not be for the purposes of criminal or civil proceedings.

*"(f) having regard to the rights, freedoms and legitimate interests of any person, the disclosure was necessary in the public interest."*

We do not consider it necessary or justifiable to disclose this information as there is no compelling public interest to do so. The Commissioner and his staff risk criminal liability if they disclose information without lawful authority. The right of access under the FOIA is not sufficient to override these important factors and the information is therefore withheld.

This concludes our response to your request.

### **Next steps**

You can ask us to review our response. Please let us know in writing if you want us to carry out a review. Please do so within 40 working days.

You can read a copy of our full review procedure [here](#).

If we perform a review but you are still dissatisfied, you can complain to the ICO as regulator of the FOIA. This complaint will be handled just like a complaint made to the ICO about any other public authority.

You can [raise a complaint through our website](#).

### **Your information**

Our [privacy notice](#) explains what we do with the personal data you provide to us, and sets out [your rights](#). Our [Retention and Disposal Policy](#) details how long we keep information.

Yours sincerely



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